

**2010 State Damage Prevention Program Grants Progress Report**  
**Funding Opportunity Number: DTPH56-10-G-PHPS09**

**Award Number: DTPH56-10-G-PHPS09**

**Project Title: MN Office of Pipeline Safety State Damage Prevention**

**Date Submitted: May 25, 2010**

**Submitted by: Dan Munthe**

**Specific Objective(s) of the Agreement**

*Under this grant award, the Minnesota Department of Public Safety will perform damage prevention program duties. Duties will include:*

- *Enforcement action follow-up and collections*
- *In-office investigations and research*
- *Statistical analysis and complaint and incident trending*
- *Court proceedings and conciliations*
- *Compliance monitoring of one-call center operations, frequent offenders, and types of offenses*
- *Rules and law review*
- *Outreach*
  - *Safety presentations to excavators*
  - *Safety & training presentations for operators & locators*
  - *Annual conference with Damage Prevention track*
  - *Safety messages for the general population*
- *Involvement in the regional Common Ground Alliance*
- *Active participation in area Utility Coordinating Committees*
- *Participation in the one-call center Operations and Communication Committees*
- *Participation in locator roundtable and summit meetings*
- *Damage Prevention Inspections*
- *GSOC review*
- *MNOPS Dashboards*
- *Enhancements to OPS database system (specifically one call tab).*
- *MNOPS Operations manual – DP specific*
- *DP case transparency*
- *DP recognition awards*
- *Projects and Meets – study and actions*

**Work scope**

*Under the terms of this agreement, the Grantee will address the following elements listed in 49 USC §60134 through the actions it has specified in its Application.*

- *Element (2): A process for fostering and ensuring the support and partnership of stakeholders, including excavators, operators, locators, designers, and local government in all phases of the program.*
- *Element (4): Participation by operators, excavators, and other stakeholders in the development and implementation of effective employee training programs to ensure that operators, the one call center, the enforcing agency, and the excavators have partnered to design and implement training for the employees of operators, excavators, and locators.*

- *Element (5): A process for fostering and ensuring active participation by all stakeholders in public education for damage prevention activities.*
- *Element (6): A process for resolving disputes that defines the State authority's role as a partner and facilitator to resolve issues.*
- *Element (7): Enforcement of State damage prevention laws and regulations for all aspects of the damage prevention process, including public education, and the use of civil penalties for violations assessable by the appropriate State authority.*
- *Element (9): A process for review and analysis of the effectiveness of each program element, including a means for implementing improvements identified by such program reviews.*

**Accomplishments for this period (Item 1 under Section 9.01 Progress Report: "A comparison of actual accomplishments to the objectives established for the period.")**

<b>Objective</b>	<b>Accomplishments (approximate year-to-date numbers as of report date)</b>
<i>Enforcement action follow-up and collections</i>	<i>YTD- 29 DP code violations cited</i>
<i>In-office/Field investigations and research</i>	<i>YTD- 19 DP complaint / incident cases, 16 3<sup>rd</sup> party damage cases</i>
<i>Statistical analysis and complaint and incident trending</i>	<i>VDR Data gathering initiated. MNOPS Dashboards are developed and implemented. Special education materials developed in conjunction with GSOC to address meets and projects issues.</i>
<i>Court proceedings and conciliations</i>	<i>Court cases and conciliations ongoing</i>
<i>Compliance monitoring of one-call center operations, frequent offenders, and types of offenses</i>	<i>Monitoring ongoing- Last update of Call Center Operations on 5/11/2010, next review 8/17/2010</i>
<i>Rules and law review</i>	<i>No Rule review meetings scheduled yet.</i>
<i>Outreach</i> <ul style="list-style-type: none"> <li>▪ <i>Safety presentations to excavators</i></li> <li>▪ <i>Safety &amp; training presentations for operators &amp; locators</i></li> <li>▪ <i>Annual conference with Damage Prevention track</i></li> <li>▪ <i>Safety messages for the general population</i></li> </ul>	<i>YTD- 56 Damage Prevention Presentations(the first ½ most time is spent on this activity)</i>  <i>4/15/2010 Damage Prevention Track at MNOPS Spring Educational Conference (excellent participation!) DP awards issued.</i>
<i>Involvement in the regional Common Ground Alliance</i>	<i>Participated in 1 Regional CGA meeting (5/5/10)</i>
<i>Active participation in area Utility Coordinating Committees</i>	<i>Participated in 4 Utility Coordinating Committees.</i>
<i>Participation in the one-call center Operations and Communication Committees</i>	<i>Participated in all Gopher State One Call Operations and Communication Committee</i>

	<i>meetings</i>
<i>Participation in locator roundtable and summit meetings</i>	<i>Locator roundtables conducted at GSOC (2/18/10) and Duluth (3/31/10).</i>

**Quantifiable Metrics/Measures of Effectiveness (Item 2 under Section 9.01 Project Report:**  
**“Where the output of the project can be quantified, a computation of the cost per unit of output.”)**

*As of the report date, MNOPS has conducted approximately 56 Damage Prevention Presentations, 1 CGA meetings, and actively participated in 4 Utility Coordinating Committees in 2010.YTD – MNOPS cited 29 one call law code violations, issued \$21,250 in penalties, and collected \$500.*

**Issues, Problems or Challenges (Item 3 under Section 9.01 Project Report: “The reasons for slippage if established objectives were not met. “)**

*Staff shortage has necessitated that the Rules Review Meetings were put on hold. It is anticipated that these meetings will resume by Fall.*

#### **Section 9.03 Mid-term Financial Status Report**

*The mid-term financial report will be sent as a separate attachment to the AA and AOTR (Form SF-425). Included will be a breakdown of breakdown of costs for each object class category (Personnel, Fringe Benefits, Travel, Equipment, Supplies, Contractual, Other, and Indirect Charges)*

#### **Section 10.01 Request for Advance/Reimbursement**

*SF-270 will be submitted separately to the AA (original), one copy to the AOTR, and one copy to the Payment Office listed below:*

*U.S. Department of Transportation  
Federal Aviation Administration, MMAC  
Financial Operations, AMZ-160  
P.O. Box 269039  
Oklahoma City, OK 73126-9039  
Attn: Ms. Margaret Gorman  
(405) 954-7468*

#### **Plans for next period (remainder of grant)**

*Continue with the objectives listed above.*

#### **Requests of the AOTR and/or PHMSA**

*No actions requested at this time.*